

X PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, DC 20231

11/12/99

Transmitted herewith for filing is the patent application of
 Inventor: **Bennett, Ian M.**
 For (Title): **Internet Server with Speech Support for Enhanced Interactivity**
 Enclosed are:

- ☒ 65 pages of written description, claims and abstract.
- ☒ 28 sheets of informal drawings.
- ☐ An assignment of the invention to
- ☐ Recordation Form Cover Sheet
- ☐ A declaration and power of attorney.
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Applicant(s) claim convention priority under 35 U.S.C. 119 based on _____ application
 Serial No. _____ filed _____.

11/12/99
 09/439174
 U.S. PTO

OTHER THAN A
 SMALL ENTITY

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$380	OR		
TOTAL CLAIMS	26-20 =	6	x 9=	54	OR	x 18=	\$
					OR		
INDEP. CLAIMS	5-3 =	2	x 39=	78	OR	x 78=	\$
			+ 125=		OR	+ 250=	\$
MULTIPLE DEPENDENT CLAIM PRESENTED					OR		
			TOTAL	\$512	OR	TOTAL	\$

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- ☐ Please charge Deposit Account No. in the amount of \$ _____.
 Two additional copies of this sheet are enclosed.
- ☒ A check in the amount of \$ 512 to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.. Two additional copies of this sheet are enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. Two additional copies of this sheet are enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Dated: 11/12/99

reg. No. 34175

Attorney of Record: J. Nicholas Gross

CERTIFICATE OF "EXPRESS MAIL" UNDER 37 CFR 1.10

"EXPRESS MAIL" Mailing Label Number EG545976908US Date of Deposit: 11/12/99
 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application Assistant Commissioner for Patents, Washington, D.C. 20231.

Anthony A. Gross
 (Typed or Printed Name of Person Mailing Paper or Fee)

(Signature of Person Mailing Paper or Fee)

Docket Number: **PHO 99-003**

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Applicant or Patentee: **Bennett, Ian M.**

Application or Patent No.:

Filed: **11/12/99**

Title: **Internet Server with Speech Support for Enhanced Interactivity**

I hereby declare that I am:

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: **Phoenix Solutions**

ADDRESS OF SMALL BUSINESS CONCERN: **Suite 100, 634 Georgia Avenue, Palo Alto, CA 94306 USA**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)


I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: **Ian M. Bennett**

TITLE OF PERSON IF OTHER THAN OWNER: _____

ADDRESS OF PERSON SIGNING: **Suite 100, 634 Georgia Avenue, Palo Alto, CA 94306 USA**

SIGNATURE  DATE 11/12/99